



INTEGRATED
WEALTHCARE

PRIVACY NOTICE

March 19, 2024

This information is provided by Integrated WealthCare, LLC, a registered investment advisor.

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WHAT DO WE DO WITH YOUR PERSONAL INFORMATION?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number and investment experience
- Income and risk tolerance
- Assets and account transactions

When you are no longer our client, we continue to share your information as described in this notice.

INFORMATION ABOUT SHARING?

All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons IWC chooses to share; and whether you can limit this sharing.

WHY CAN'T I LIMIT ALL SHARING?

Federal law gives you the right to limit only:

- Sharing for affiliates' everyday business purposes – information about your creditworthiness
- Affiliates from using your information to market to you
- Sharing for nonaffiliates to market to you

State laws and individual companies may give you additional rights to limit sharing.

WHAT HAPPENS WHEN I LIMIT SHARING AN ACCOUNT I HOLD JOINTLY WITH SOMEONE ELSE?

Your choices will apply to everyone on your account.

REASONS WE CAN SHARE YOUR PERSONAL INFORMATION	DOES IWC SHARE?	CAN YOU LIMIT SHARING?
For our everyday business purposes - such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or to report to credit bureaus	YES	NO
For our marketing purposes - to offer our products and services to you	YES	NO
For joint marketing with other financial companies	NO	IWC does not share
For our affiliates' everyday business purposes - information about your credit worthiness	N/A	N/A
For our affiliates' everyday business purposes - information about your creditworthiness	N/A	IWC does not share
For nonaffiliates to market to you	NO	IWC does not share

HOW DO WE COLLECT YOUR PERSONAL INFORMATION?

We collect your personal information, for example, when you

- Open an account or give us your contact information
- Seek advice about your investments or tell us about your investment or retirement portfolio
- Enter into an investment advisory contract and financial planning agreement
- Direct us to buy or sell your securities

HOW DO WE PROTECT YOUR PERSONAL INFORMATION?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secure files and buildings. Access to personal information is granted to our home-office associates and investment advisory representatives only to provide investments and services to customers, or to serve another legitimate business need.

DEFINITIONS

Affiliates: Companies related by common ownership or control. They can be financial and nonfinancial companies.

Nonaffiliates: Companies not related by common ownership or control. They can be financial and nonfinancial companies.

- IWC does not share with nonaffiliates so that they can market to you.

Joint Marketing: A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

- IWC does not jointly market

LIMIT SHARING

Call (866)694-6292 and ask for the Chief Compliance Officer, M. Shayne Ruffing.

GENERAL QUESTIONS REGARDING PRIVACY

Call (866)694-6292